

Neonatal Daily Classification Fiscal 2014/15

Case Examples and Answers

Laura Bailey, CHIM
Product Support Analyst



1st Case Example

5 Newborn Assessment																									
Put a check mark (✓) in the appropriate column										Document in 'N' column															
N = Normal										NA = Not applicable															
V = Variances/concerns										X = Not assessed															
* Record variances/concerns on Variance Record/Progress Notes																									
Date	Aug 21	Aug 22	Aug 23																						
Time	0800	0910	0930																						
Age in hours up to 72/ then # of days																									
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N
Neonatal daily classification (1a=N)	1a	1a	1a																						
Head																									
Nares																									
Eyes																									
Ears																									
Mouth																									
Chest																									
Abdomen																									
Umbilicus																									
Skeletal/Extremities																									
Skin																									
Neuromuscular (reflexes)																									
Genitalia																									
Elimination—urine																									
Elimination—stool																									
Behavior (states/cues)																									
Crying																									
Other (e.g. weight)																									
Initials																									
* Examples of feeding variances <ul style="list-style-type: none"> Not exclusively breastfeeding at breast < 6 active feedings in 1st 24 hours (active feeding) < 8 active feedings in subsequent 24 hour periods (active feeding) Feeding causes nipple trauma (effective latch) No evidence of milk transfer (active feeding) 										<input type="checkbox"/> Cord Clamp removed Date _____ Time _____					Neonatal Daily Classification Enter classification in the N or V box for previous 24 hours (see tool for detail) 1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-specialty care										
Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up 										<input type="checkbox"/> 1 st Newborn bath Date _____ Time _____					<ul style="list-style-type: none"> Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 										

- Example of a baby cared for on a single room maternity unit

SCU Unit #	SCU Admit Dt	SCU Admit Tm	SCU Disch Dt	SCU Disch Tm
(13/02)	(13/03)	(13/04)	(13/05)	(13/06)

- Reasoning:
- Abstraction rules – 1a is not collected



2nd Case Example

5 Newborn Assessment																										
Put a check mark (✓) in the appropriate column													Document in 'N' column													
N = Normal													NA = Not applicable													
V = Variances/concerns													X = Not assessed													
* Record variances/concerns on Variance Record/Progress Notes																										
Date	Aug 21	Aug 22	Aug 23	Aug 24	Aug 25																					
Time	0800	0910	0930	0740	1100																					
Age in hours up to 72/ then # of days																										
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b	1a	1a	1a	1a																					
Head																										
Nares																										
Eyes																										
Ears																										
Mouth																										
Chest																										
Abdomen																										
Umbilicus																										
Skeletal/Extremities																										
Skin																										
Neuromuscular (reflexes)																										
Genitalia																										
Elimination—urine																										
Elimination—stool																										
Behavior (states/cues)																										
Crying																										
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Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 													<input type="checkbox"/> 1 st Newborn bath Date _____ Time _____			1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-specialty care										

- Example of a baby cared for on a single room maternity unit

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	21 Aug 14	0800	22 Aug 14	0910

Reasoning:

- Abstraction rule for Admission & Discharge Date – date a new level is assigned
- Abstraction rule for Admission & Discharge Time – valid time



3rd Case Example

5 Newborn Assessment																										
Put a check mark (✓) in the appropriate column													Document in 'N' column													
N = Normal													NA = Not applicable													
V = Variances/concerns													X = Not assessed													
* Record variances/concerns on Variance Record/Progress Notes																										
Date	Aug 21	Aug 22	Aug 23	Aug 24	Aug 25																					
Time	0800		0930	0740	1100																					
Age in hours up to 72/ then # of days																										
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b	1a	1a		1a		1a		1a																	
Head																										
Nares																										
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Abdomen																										
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Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 																										

- Example of a baby cared for on a single room maternity unit; time missing for August 22

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	21 Aug 14	0800	22 Aug 14	9999

- Reasoning:
- Abstraction rule for Discharge Date – date a new level is assigned
 - Abstraction rule for Discharge Time – 9999 for 'unknown' time

4th Case Example

5 Newborn Assessment												
Put a check mark (✓) in the appropriate column						Document in 'N' column						
N = Normal						NA = Not applicable						
V = Variances/concerns						X = Not assessed						
* Record variances/concerns on Variance Record/Progress Notes												
Date	Aug 21	Aug 22	Aug 23	Aug 24	Aug 25							
Time	0800		0930	0740	1100							
Age in hours up to 72/ then # of days												
	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b		1a	1a	✓							
Head												
Nares												
Eyes												
Ears												
Mouth												
Chest												
Abdomen												
Umbilicus												
Skeletal/Extremities												
Skin												
Neuromuscular (reflexes)												
Genitalia												
Elimination—urine												
Elimination—stool												
Behavior (states/cues)												
Crying												
Other (e.g. weight)												
Initials												
* Examples of feeding variances <ul style="list-style-type: none"> Not exclusively breastfeeding at breast < 6 active feedings in 1st 24 hours (active feeding) < 8 active feedings in subsequent 24 hour periods (active feeding) Feeding causes nipple trauma (effective latch) No evidence of milk transfer (active feeding) 						<input type="checkbox"/> Cord Clamp removed Date _____ Time _____			Neonatal Daily Classification Enter classification in the N or V box for previous 24 hours (see tool for detail) 1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-speciality care			
Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 						<input type="checkbox"/> 1 st Newborn bath Date _____ Time _____						

- Example of a baby cared for on a single room maternity; time and classification missing for August 22
- Baby discharged home August 25 at 1742

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	21 Aug 14	0800	22 Aug 14	9999

- Reasoning:
- FAQ #10 – invalid classification
 - Abstraction rule for Discharge Date – date of first day without a classification
 - Abstraction rule for Discharge Time – 9999 for ‘unknown’ time
 - FAQ #7 - ✓ in ‘N’ column means 1a



5th Case Example

NEONATAL DAILY CLASSIFICATION MONTHLY RECORD

Date of Admission: September 4, 2014

Date of Discharge: September 9, 2014

Month: September 2014

Day of Month	Time	CLASSIFICATION						Comments	Initials
		1a	1b	2a	2b	3a	3b		
1									
2									
3									
4	1420				X				
5	0730				X				
6	0800			X					
7	0903			X					
8	0836		X						
9	1116	X							
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
24									
25									
26									
27									
28									
29									
30									
31									
Total Days:									

- Example of a baby cared for in a NICU with >1 SCU occurrence

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
52	4 Sept 14	1420	8 Sept 14	0836
51	8 Sept 14	0836	9 Sept 14	1116

Reasoning:

- Abstraction rule transfer of service – dates and times of admission & discharge should be equal
- Abstraction rule for Admission & Discharge Date – date a new level is assigned
- Abstraction rule for Admission & Discharge Time – valid time



Perinatal Services BC
An agency of the Provincial Health Services Authority

6th Case Example

NEONATAL DAILY CLASSIFICATION MONTHLY RECORD

Date of Admission: September 4, 2014

Date of Discharge: _____

Month: September 2014

Day of Month	Time	CLASSIFICATION						Comments	Initials
		1a	1b	2a	2b	3a	3b		
1									
2									
3									
4	1420			X					
5	0730		X						
6									
7									
8									
9									
10									
11									
12									

- Example of a baby cared for in a NICU and then transferred to ward/rooming in

5 Newborn Assessment

Put a check mark (✓) in the appropriate column

N = Normal
V = Variances/concerns

* Record variances/concerns on Variance Record/P

Date		Sept 6	Sept 7		
Time		0801	0930		
Age in hours up to 72/ then # of days					
		N	V	N	V
Neonatal daily classification (1a=N)		✓	✓		
Head					
Nares					
Eyes					

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
52	4 Sept 14	1420	5 Sept 14	0730
51	5 Sept 14	0730	6 Sept 14	0801

- Reasoning:**
- Abstraction rule for Admission & Discharge Date – date a new level is assigned
 - Abstraction rule for Admission & Discharge Time – valid time

7th Case Example

5 Newborn Assessment

Put a check mark (✓) in the appropriate column Document in 'N' column
 N = Normal NA = Not applicable
 V = Variances/concerns X = Not assessed
 * Record variances/concerns on Variance Record/Progress Notes

Date	Aug 21	Aug 22																		
Time	2310	0230																		
Age in hours up to 72/ then # of days																				
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	2b	3a																		
Head																				
Nares																				
Eyes																				
Ears																				
Mouth																				
Chest																				
Abdomen																				
Umbilicus																				
Skeletal/Extremities																				
Skin																				
Neuromuscular (reflexes)																				
Genitalia																				
Elimination-urine																				
Elimination-stool																				
Behavior (states/cues)																				
Crying																				
Other (e.g. weight)																				
Initials																				

Baby trans at 0730

*** Examples of feeding variances**

- Not exclusively breastfeeding at breast
- < 6 active feedings in 1st 24 hours (active feeding)
- < 8 active feedings in subsequent 24 hour periods (active feeding)
- Feeding causes nipple trauma (effective latch)
- No evidence of milk transfer (active feeding)

Examples of feeding plans

- Improve latch and position
- Hand express after feeding
- Pump and top up
- Increase frequency of feeding, stimulate baby
- Express/pump q 2-3 hours
- Top up with _____

Cord Clamp removed
Date _____
Time _____

1st Newborn bath
Date _____
Time _____

Neonatal Daily Classification
Enter classification in the N or V box for previous 24 hours (see tool for detail)

1a - Normal newborn care
1b - Requires increased observation
2a - Requires increased observation and increased care
2b - Requires acute management
3a - Requires high acuity management
3b - Requires high acuity, multi-specialty care

- Example of a baby cared for and then transferred to another facility

SCU Unit #	SCU Admit Dt (13/02)	SCU Admit Tm (13/03)	SCU Disch Dt (13/04)	SCU Disch Tm (13/05)
52	21 Aug 14	2310	22 Aug 14	0230
53	22 Aug 14	0230	22 Aug 14	0730

- Reasoning:**
- Abstraction rule for Discharge Date – use date of hospital discharge
 - Abstraction rule for Discharge Time – valid time

8th Case Example

5 Newborn Assessment

Put a check mark (✓) in the appropriate column Document in 'N' column
 N = Normal NA = Not applicable
 V = Variances/concerns X = Not assessed

Disch Aug 9 2014

* Record variances/concerns on Variance Record/Progress Notes

Date	Aug 5	Aug 6	Aug 7	Aug 8	Aug 9																			
Time	0535		0701	0930																				
Age in hours up to 72 / then # of days																								
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b	1b																						
Head																								
Nares																								
Eyes																								
Ears																								
Mouth																								
Chest																								
Abdomen																								
Umbilicus																								
Skeletal/Extremities																								
Skin																								
Neuromuscular (reflexes)																								
Genitalia																								
Elimination-urine																								
Elimination-stool																								
Behavior (states/cues)																								
Crying																								
Other (e.g. weight)																								
Initials																								
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Examples of feeding plans <ul style="list-style-type: none"> • Improve latch and position • Hand express after feeding • Pump and top up • Increase frequency of feeding, stimulate baby • Express/pump q 2-3 hours • Top up with _____ 	<input type="checkbox"/> 1 st Newborn bath Date _____ Time _____																							

- Example of a baby cared in probable single room maternity
- Missing time for classification on Aug 6
- Missing classification for Aug 7

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	5 Aug 14	0535	7 Aug 14	9999

Reasoning:

- Abstraction rule for Discharge Date – date of first day without a valid classification
- FAQ #11 – invalid time
- Abstraction rule for Discharge Time – 9999 for unknown time



9th Case Example

5 Newborn Assessment

Put a check mark (✓) in the appropriate column Document in 'N' column
 N = Normal NA = Not applicable
 V = Variances/concerns X = Not assessed
 * Record variances/concerns on Variance Record/Progress Notes

Disch Aug 9 2014

Date	Aug 5	Aug 6	Aug 7	Aug 7	Aug 8	Aug 9														
Time	0535	0801	0701	1659	0840	1100														
Age in hours up to 72/ then # of days																				
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b	1b	1a		1b	1a	1a													
Head																				
Nares																				
Eyes																				
Ears																				
Mouth																				
Chest																				
Abdomen																				
Umbilicus																				
Skeletal/Extremities																				
Skin																				
Neuromuscular (reflexes)																				
Genitalia																				
Elimination-urine																				
Elimination-stool																				
Behavior (states/cues)																				
Crying																				
Other (e.g. weight)																				
Initials																				
* Examples of feeding variances • Not exclusively breastfeeding at breast • < 6 active feedings in 1 st 24 hours (active feeding) • < 8 active feedings in subsequent 24 hour periods (active feeding) • Feeding causes nipple trauma (effective latch) • No evidence of milk transfer (active feeding)	<input type="checkbox"/> Cord Clamp removed Date _____ Time _____		Neonatal Daily Classification Enter classification in the N or V box for previous 24 hours (see tool for detail) 1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-specialty care																	
Examples of feeding plans • Improve latch and position • Hand express after feeding • Pump and top up • Increase frequency of feeding, stimulate baby • Express/pump q 2–3 hours • Top up with _____																				

- Example of a baby cared for in probable single room maternity
- Baby has two classifications for Aug 7

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	5 Aug 14	0535	8 Aug 14	0840

Reasoning:

- FAQ # 9 – highest classification in a day
- Abstraction rule for Discharge Date – date new level assigned
- Abstraction rule for Discharge Time – valid time

10th Case Example

5 Newborn Assessment																									
Put a check mark (✓) in the appropriate column										Document in 'N' column															
N = Normal					NA = Not applicable																				
V = Variances/concerns					X = Not assessed										Disch Aug 8 2014										
* Record variances/concerns on Variance Record/Progress Notes																									
Date	Aug 5	Aug 6	Aug 7	Aug 8																					
Time	0535	0801	0701	0840																					
Age in hours up to 72/ then # of days																									
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N
Neonatal daily classification (1a=N)	1b	1b			1a				1a																
Head																									
Nares																									
Eyes																									
Ears																									
Mouth																									
Chest																									
Abdomen																									
Umbilicus																									
Skeletal/Extremities																									
Skin																									
Neuromuscular (reflexes)																									
Genitalia																									
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Elimination-stool																									
Behavior (states/cues)																									
Crying																									
Other (e.g. weight)																									
Initials																									
* Examples of feeding variances <ul style="list-style-type: none"> Not exclusively breastfeeding at breast < 6 active feedings in 1st 24 hours (active feeding) < 8 active feedings in subsequent 24 hour periods (active feeding) Feeding causes nipple trauma (effective latch) No evidence of milk transfer (active feeding) 										<input type="checkbox"/> Cord Clamp removed Date _____ Time _____					Neonatal Daily Classification Enter classification in the N or V box for previous 24 hours (see tool for detail) 1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-specialty care										
Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 										<input type="checkbox"/> 1 st Newborn bath Date _____ Time _____															

- Example of a baby cared for in probable single room maternity
- Baby has a 1b classification in 'N' column on Aug 6

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	5 Aug 14	0535	7 Aug 14	0701

- Reasoning:
- FAQ # 8 – use classification
 - Abstraction rule for Discharge Date – date new SCU level assigned
 - Abstraction rule for Discharge Time – valid time

11th Case Example

5 Newborn Assessment																												
Put a check mark (✓) in the appropriate column													Document in 'N' column															
N = Normal													NA = Not applicable															
V = Variances/concerns													X = Not assessed															
* Record variances/concerns on Variance Record/Progress Notes																												
Date	Aug 5	Aug 6	Aug 7	Aug 8																								
Time	0535	0801	0701	0840																								
Age in hours up to 72/ then # of days																												
	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V	N	V
Neonatal daily classification (1a=N)	1b		✓ 1a		1a																							
Head																												
Nares																												
Eyes																												
Ears																												
Mouth																												
Chest																												
Abdomen																												
Umbilicus																												
Skeletal/Extremities																												
Skin																												
Neuromuscular (reflexes)																												
Genitalia																												
Elimination—urine																												
Elimination—stool																												
Behavior (states/cues)																												
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Initials																												
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Examples of feeding plans <ul style="list-style-type: none"> Improve latch and position Hand express after feeding Pump and top up Increase frequency of feeding, stimulate baby Express/pump q 2–3 hours Top up with _____ 													Neonatal Daily Classification Enter classification in the N or V box for previous 24 hours (see tool for detail) 1a – Normal newborn care 1b – Requires increased observation 2a – Requires increased observation and increased care 2b – Requires acute management 3a – Requires high acuity management 3b – Requires high acuity, multi-speciality care															

Disch Aug 8 2014

- Example of a baby cared for on the ward
- There is a ✓ in the 'V' column

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
51	5 Aug 14	0535	6 Aug 14	9999

- Reasoning:
- FAQ # 7 – invalid classification
 - FAQ # 11 – no classification level
 - Abstraction rule for Discharge Date – first day without a valid classification
 - Abstraction rule for Discharge Time – 9999 for 'unknown' time

12th Case Example

NEONATAL DAILY CLASSIFICATION MONTHLY RECORD

Date of Admission: _____

Date of Discharge: _____

Month: August 2014

Day of Month	Time	CLASSIFICATION						Comments	Initials
		1a	1b	2a	2b	3a	3b		
1	1815					X			
2	0910					X			
3					X				
4									
5	0730								
6						X			
7				X					
8	0830		X						
9	0905								
10									
11									
12				X					
13	0745		X						
14	0918	X							
15									

- Example of a baby cared for in a NICU
- Missing dates, times and classifications

SCU Unit # (13/02)	SCU Admit Dt (13/03)	SCU Admit Tm (13/04)	SCU Disch Dt (13/05)	SCU Disch Tm (13/06)
53	1 Aug 14	1815	3 Aug 14	9999
52	3 Aug 14	9999	4 Aug 14	9999
53	6 Aug 14	9999	7 Aug 14	9999
52	7 Aug 14	9999	8 Aug 14	0830
51	8 Aug 14	0830	9 Aug 14	9999
52	12 Aug 14	9999	13 Aug 14	0745
51	13 Aug 14	0745	14 Aug 14	0918

Reasoning:

- 1st Occur - Abstraction Rule for D/C Time – 9999
- 2nd Occur - Abstraction Rule for D/C Date – first day without a valid classification
- 3rd Occur - Abstraction Rules for Adm Date – date new SCU level assigned
- 4th Occur - Abstraction Rules for Adm Time - 9999
- 5th Occur - Abstraction Rules for D/C Date – first day without a classification
- 5th Occur - FAQ #11 – Incomplete - D/C Time – 9999
- 6th Occur - Abstraction Rules for Adm Date – date new SCU level assigned



Perinatal Services BC
An agency of the Provincial Health Services Authority